



Statement Reporting Period:
11/01/13 - 11/30/13

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED] 285

JAMES C RIGGIO ROTH IRA
TD AMERITRADE CLEARING, CUSTODIAN
[REDACTED]
DELRAY BEACH, FL [REDACTED]

| Portfolio Summary | | | | | | | Portfolio Allocation |
|----------------------|--------------------|-------------------|-------------------|--------------|------------------|-----------------|----------------------|
| Investment | Current Value | Prior Value | Period Change | % Change | Estimated Income | Estimated Yield | |
| Cash | \$4,800.03 | \$3,900.02 | \$900.01 | - | \$ - | - | |
| Insrd Dep Acct | 3,581.66 | 127.41 | 3,454.25 | 2711.1% | - | 0.01% | |
| Money Market | - | - | - | - | - | - | |
| Short Balance | - | - | - | - | - | - | |
| Stocks | - | - | - | - | - | - | |
| Short Stocks | - | - | - | - | - | - | |
| Fixed Income | - | - | - | - | - | - | |
| Options | 8,793.22 | 21,929.81 | (13,136.59) | (59.9)% | - | - | |
| Short Options | (5,714.28) | (16,773.61) | 11,059.33 | 65.9% | - | - | |
| Mutual Funds | - | - | - | - | - | - | |
| Other | - | - | - | - | - | - | |
| Total | \$11,460.63 | \$9,183.63 | \$2,277.00 | 24.8% | \$ 0.00 | 0.0% | |
| Margin Equity | 100.0% | | | | | | |

| Cash Activity Summary | | | Retirement Account Summary | | | Performance Summary | |
|------------------------|-------------------|-------------------|----------------------------|----------|----------|---------------------|--|
| | Current | YTD | | 2013 PTD | 2013 YTD | 2012 YTD | |
| Opening Balance | \$3,900.02 | \$ - | Contributions | \$ - | \$ - | \$ - | Cost Basis As Of - 11/30/13 |
| Securities Purchased | (44,702.84) | (5,662,047.02) | Distributions | - | - | - | Unrealized Gains |
| Securities Sold | 49,069.06 | 5,664,474.12 | Plan Contribution | - | - | - | Unrealized Losses |
| Contributions | - | - | Rollover | - | - | - | Funds Deposited/(Disbursed) ^{YTD} |
| Distributions | - | - | Direct Transfer | - | - | - | Income/(Expense) ^{YTD} |
| Income | 0.03 | 0.17 | Tax Withheld | - | - | - | Securities Received/(Delivered) ^{YTD} |
| Expense | - | - | Recharact | - | - | - | |
| Other | (3,466.24) | 2,372.76 | Roth Conv. | - | - | - | |
| Closing Balance | \$4,800.03 | \$4,800.03 | | | | | |